

Chapter Two

Instructions for Registration in the Exchange Companies Register

Subject	Date of Last Instructions
1) <u>Instructions concerning the necessity of providing The Central Bank of Kuwait with the exchange companies' amended Articles of Association and companies' compliance with the activities recorded therein.</u>	12/05/2009
2) <u>Application form for registration in the exchange companies register.</u>	02/12/2014
3) <u>Application form for amending details in the exchange companies register.</u>	02/12/2014

Manager

Jumada Al-Ula 17, 1430
May 12, 2009

THE GENERAL MANAGER,

Circular to all Exchange Companies

It is noted that some exchange companies, having obtained approval from the Central Bank of Kuwait to amend their Articles of Association, failed to provide The Central Bank of Kuwait with their final amended version indicating the amendments made, also failed to apply for the notification in the exchange companies register thereof.

Exchange Companies that had not yet provided The Central Bank of Kuwait with the required information and amendments should, as soon as possible, provide us with a copy of their Articles of Association and the approved amendments thereof including their request to apply for the notification in the exchange companies register.

Furthermore, The Central Bank of Kuwait hereby stresses exchange companies to adhere to the activities recorded in their Articles of Association and not to engage in any other activities unstated therein.

Best Regards,

Yousef J. Al-Obaid
Manager of Off-Site Supervision Department

1) Instructions concerning the necessity of providing The Central Bank of Kuwait with the exchange companies' amended Articles of Association and companies' compliance with the activities recorded therein.

Application Form for Registration in the Exchange Companies Register⁽¹⁾

His Excellency the Governor of the Central Bank of Kuwait

In accordance with the provisions of Article (8) of the Ministerial Resolution regarding subjecting the exchange companies to the supervision of the Central Bank of Kuwait, weCompany hereby request to take the necessary measures to list the company in The Central Bank of Kuwait exchange companies register. We indicate the following:

- 1) Company's name and address (a copy of the commercial license):
- 2) Legal nature of the company:
- 3) Date of establishment:.....
- 4) Company duration:
- 5) Capital:
- 6) Reserves:
- 7) Headquarters address (a copy of the commercial license):
- 8) Branches and their addresses (a copy of the commercial license):
- 9) Names of members of the Board of Directors or the persons entrusted with the management of the company. (copies of Civil ID cards):
- 10) Names of the joint partners (copies of Civil ID cards):
- 11) Names of the foreign partners (in case of the company has non-Kuwaiti partners):
- 12) Auditor (name of auditor in charge and name of his auditing office):
- 13) Names of all partners and their shares:

⁽¹⁾ Amended according to the Circular dated 02/12/2014 included under item No. (2) of Chapter One concerning Issuance of a Set of Rules and Regulations related to the Establishment of Exchange Companies and the Supervision over their activities, which is defining the Minimum Capital Requirements and Qualifying Key Executive Positions in these Companies.

2) Application form for registration in the exchange companies register.

Please find attached herewith the documentation evidencing the information previously mentioned together with the official extract of the company's memorandum and articles of association.

Best Regards,

The Chairman of the Board (or The General Manager)

Attachments:

2) Application form for registration in the exchange companies register.

Application Form for Amending Details in the Exchange Companies Register⁽¹⁾

His Excellency the Governor of the Central Bank of Kuwait

In accordance with the provisions of Article (61) of Law No. 32 of the year 1968 and Article (10) of the Ministerial Resolution on subjecting the exchange companies to the supervision of the Central Bank of Kuwait, we company hereby request to take the necessary measures towards indicating the following amendments made on the information registered in the exchange companies register.

Please find attached herewith documents that illustrate amendments made.

Best Regards,

The Chairman of the Board (or the General Manager)

⁽¹⁾ Amended according to the Circular dated 02/12/2014 included under item No. (2) of Chapter One concerning Issuance of a Set of Rules and Regulations related to the Establishment of Exchange Companies and the Supervision over their activities, which is defining the Minimum Capital Requirements and Qualifying Key Executive Positions in these Companies

3) Application form for amending details in the exchange companies register.